

REMARK C56

REVISION 10/10/2018

ANNUAL SUBCONTRACTOR CERTIFICATIONS AND REPRESENTATIONS

Seller must complete and sign the following representations to be eligible for subcontracts. This document must be updated annually or whenever a change in status occurs. Contact the buyer if you have any questions. **This is required for government reporting and compliance.**

A. BUSINESS REPRESENTATION: All Suppliers

The offeror represents itself based on NAICS code size standard as of the date of the representations given below. (Select all the codes that apply):

_____ 336412 Engine Parts Manufacturing- (All Materials/Parts/Processing):<1,500 Employees is Small

_____ 336413 Other Aircraft Part and Auxiliary Equipment Manufacturing: <1,250 Employees is Small

_____ 541715 Technical Services: Aerospace research and development: <1,500 Employees is Small

_____ Indirect materials/services- not used in an engine/aircraft component. NAICS _____

_____ Non-US Company: If non-US company, skip to section "D".

_____ Other: Only use if you are not providing aircraft hardware NAICS _____

The SBA recommends your company be registered in the SAM database www.sam.gov. GE recommends that all direct engine/aircraft hardware suppliers add at least one of the NAICS codes above to their profile in SAM.gov.

1. It is _____ is not _____ a Small Business Concern (per above table).

1a. For LARGE US businesses, you must indicate all that apply for subcontracting plan reporting:

_____ Commercial Plan _____ Individual Plan (most common)

_____ Master Plan _____ Comprehensive Plan

_____ NA-No individual order from GEA >\$700K military, or commercial orders only

1b. Provide contact information for individual (small business liaison) responsible for bi-annual subcontract reporting in www.esrs.gov system: Name, Phone, Email. _____

2. It is _____ is not _____ a Minority-Owned Business Concern.

Please indicate one category:

_____ African American _____ Hispanic American

_____ Native American _____ Asian-Pacific American

_____ Subcontinent Asian American _____ other individual/concern

(Complete 3 - 7 below only if the offeror represented itself as a Small Business concern above.)

3. It is _____ is not _____ a Disadvantaged Small Business as defined in 13 CFR 124.1002.4.

4. It is _____ is not _____ a Woman-Owned Small Business Concern.

5. It is _____ is not _____ a HUBZone Small Business Concern. Provide certificate # _____

5a. It is _____ is not _____ in a HUBZone zip code, but is not certified

6. It is _____ is not _____ a Service Disabled Veteran-Owned Small Business Concern.

7. It is _____ is not _____ a Veteran-Owned Small Business Concern.

8. It is _____ is not _____ a EDU/non-profit.

9. It is _____ is not _____ a HBCU/MINORITY INSTITUTION

Notice: In accordance with 15 U.S.C. 645(d) any person that misrepresents a firms proper size classification shall (a) be punished by a fine or imprisonment or both; (b) be subject to the administrative remedies prescribed (c) be subject to suspension and debarment as specified in subpart 9.4 of title 48, Code of Federal Regulations (d) be ineligible for participation in any program or activity conducted under the authority of this chapter or the Small Business Investment Act of 1958 (15 U.S.C. 661 et seq.) for a period not to exceed 3 years.

Supplier Name: _____ Page 1 of 3

B. PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FAR 52.222-22)

The offeror represents that as of the date of this representation:

1. It has _____ has not _____ participated in a previous contract or subcontract subject to the Equal Opportunity Clause (FAR 52.222-26);
2. It has _____ has not _____ filed all required compliance reports; **AND** Representations indicating submission of required compliance reports, signed by prospective subcontractors, will be obtained before subcontract awards.

For assistance in determining your reporting requirements, call EEOC joint reporting committee (866) 286-6440

C. AFFIRMATIVE ACTION COMPLIANCE (52.222-25)

_____ Not Applicable - less than 50 employees.

The offeror represents that as of the date of this representation:

1. It has developed _____ and has on file, has not developed _____ and does not have on file, at each establishment, Affirmative Action Programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2) -or-
 2. It has not _____ previously had contracts subject to the written Affirmative Action Programs requirement of the rules and regulations of the Secretary of Labor.
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D. REPRESENTATION REGARDING DEBARMENT, SUSPENSION, OR PROPOSED FOR DEBARMENT (FAR 52.209-6)

The offeror represents that neither it nor its principals are debarred, suspended, or proposed for debarment by the federal government. If offeror should become debarred, suspended, or proposed for debarment, at any time this representation is in effect, offeror will notify GE in writing.

E. CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (FAR 52.203-11)

The offeror certifies, for orders in excess of \$150,000, that:

1. No federal appropriated funds have been paid or will be paid to influence government officials to award a federal contract or to modify a federal contract as further stated in FAR 52.203 -11(c).
 2. If any other funds have been paid as stated in FAR 52.203-11(d) the offeror shall complete and submit OMB standard form III, disclosure of lobbying activities, to GE; and
 3. The offeror will include the requirements of FAR 52.203-11 in all subcontract awards at any tier and require that all recipients of subcontracts in excess of \$150,000 certify and disclose accordingly.
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F. CERTIFICATION REGARDING CONTRACTOR CODE OF BUSINESS ETHICS AND CONDUCT (FAR 52.203-13)

The offeror certifies, for orders in excess of \$5,000,000, that it has a written code of business ethics and conduct as stated in FAR 52.203-13. The Contractor shall also include the substance of this clause, including this paragraph (d), in subcontracts that have a value in excess of \$5,000,000 and a performance period of more than 120 days, except when the subcontract

1. Is for the acquisition of a commercial item; or
2. Is performed entirely outside the United States.

G. LABOR DISPUTES (FAR 52.222-1)

1. It is _____ is not _____ a facility that employs members of a union.
 2. If the offer represents itself as a facility with union workers, what day does the current union Contract Expire: _____
 3. What is the Name of Union(s): _____
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H. FEDERAL SPENDING TRANSPARENCY REQUIREMENTS (52.204-10)

Please submit the following information:

1. DUNS Number _____
 2. Two Digit Congressional District Number for Your Location _____
 3. Cage Code _____
 4. Supplier does _____ does not _____ need to report the names and total compensation of each of the five most highly compensated executives for its preceding completed fiscal year per 52.204-10 (d) (1)
If you indicate that you do need to report this information, please contact your GE buyer.
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I. HUMAN TRAFFICKING (FAR 52.222-50, 52.222-56, DFARS 252.222-7007)

____ Not applicable: clause not on contracts or no US gov't contract supplies or services outside US >\$500,000.

The offeror ____ does certify it has implemented, where required, a compliance plan to prevent and monitor for any prohibited activities; and after conducting due diligence, that neither it nor any of its agents, subcontractors or their agents engaged in such activities and/or have identified and remedied any abuses relating to the prohibited activities.

J. CONFLICT MINERALS (3TG):

Please indicate if offeror's products contain:

Tin: No ____ Yes ____ Tantalum: No ____ Yes ____ Cobalt: No ____ Yes ____
Tungsten: No ____ Yes ____ Gold: No ____ Yes ____
*Are you a distributor? No ____ Yes ____

Please give contact information for individual responsible for Conflict Minerals:

Name, Phone, Email. _____

K. Government Tooling: _____ Not Applicable – NO US Government Owned tools on site.

Please give contact information for individual responsible for USG Tooling:

Name, Phone, Email. _____

Name of Supplier: _____ Date: _____

By Signing below, I acknowledge that I have the authority to sign on behalf of this company.

Signature: _____ Printed Name: _____

Title: _____

FOR GE USE ONLY- MUST BE COMPLETED BEFORE SUBMITTAL

PO Number: _____ Cert/Reps must be located in this folder in Tab 2)

GE IMPACT Supplier Code: _____ GE GSL # : _____ (GE only- Systems etc.)
