

**REMARK C56**  
REVISION 09/30/2009

REQUIREMENT C56

**ANNUAL SUBCONTRACTOR CERTIFICATIONS AND REPRESENTATIONS**

SELLER MUST COMPLETE AND SIGN THE FOLLOWING REPRESENTATIONS TO BE ELIGIBLE FOR GOVERNMENT SUBCONTRACTS. THIS DOCUMENT MUST BE UPDATED ANNUALLY OR WHENEVER A CHANGE IN STATUS OCCURS. CONTACT THE BUYER IF YOU HAVE ANY QUESTIONS.

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A. Small Business Program Representation

REPRESENTATIONS:

The offeror represents that as of the date of this representation:

1. It is \_\_\_ is not \_\_\_ a Small Business Concern.

(COMPLETE 2 THROUGH 6 BELOW ONLY IF THE OFFEROR REPRESENTED ITSELF AS A SMALL BUSINESS CONCERN IN 1 ABOVE.)

2. For general statistical purposes it is \_\_\_ is not \_\_\_ disadvantaged business as defined in 13 CFR 124.1002

\_\_\_ Black American \_\_\_ Hispanic American  
\_\_\_ Native American \_\_\_ Asian-Pacific American  
\_\_\_ Subcontinent Asian American \_\_\_ other individual/concern

3. It is \_\_\_ is not \_\_\_ a Woman-Owned Small Business Concern.  
4. It is \_\_\_ is not \_\_\_ a Hubzone Small Business Concern.  
5. It is \_\_\_ is not \_\_\_ a Service Disabled Veteran-Owned Small Business Concern.  
6. It is \_\_\_ is not \_\_\_ a Veteran-Owned Small Business Concern.

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Notice: In accordance with 15 U.S.C. 645(d) any person that misrepresents a firms proper size classification shall(a) be punished by a fine or imprisonment or both; (b) be subject to the administrative remedies prescribed (c) be subject to suspension and debarment as specified in subpart 9.4 of title 48, Code of Federal Regulations (d) be ineligible for participation in any program or activity conducted under the authority of this chapter or the Small Business Investment Act of 1958 (15 U.S.C. 661 et seq.) for a period not to exceed 3 years.

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B. Historically Black College or University and Minority Institution Representation

(FAR 52.226-2)

(complete only if the offeror is an educational institution.)

The offeror represents that:

1. It is \_\_\_ is not \_\_\_ a Historically Black College or University  
2. It is \_\_\_ is not \_\_\_ a Minority Institution
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C. Previous Contracts and Compliance Reports (FAR 52.222-22)

The offeror represents that:

1. It has \_\_\_ has not \_\_\_ participated in a previous contract or subcontract subject to the Equal Opportunity Clause (FAR 52.222-26);

2. It has \_\_\_ has not \_\_\_ filed all required compliance reports;

And

3. Representations indicating submission of required compliance reports, signed by prospective subcontractors, will be obtained before subcontract awards.

FOR ASSISTANCE IN DETERMINING YOUR REPORTING REQUIREMENTS,  
CALL THE EEOC JOINT REPORTING COMMITTEE AT (866) 286-6440

D. Affirmative Action Compliance (52.222-25)

\_\_\_ Not Applicable - less than 50 employees.

The offeror represents that -

1. It has developed \_\_\_ and has on file, has not developed \_\_\_ and does not have on file, at each establishment, Affirmative Action Programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2)

-or-

2. It has not \_\_\_ previously had contracts subject to the written Affirmative Action Programs requirement of the rules and regulations of the Secretary of Labor.

E. Representation Regarding Debarment, Suspension, or Proposed for Debarment (FAR 52.209-6)

The offeror represents that neither it nor its principals are debarred, suspended, or proposed for debarment by the federal government. If offeror should become debarred, suspended, or proposed for debarment, at any time during the 12 months this representation is in effect, offeror will notify GE in writing.

F. Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions (FAR 52.203-11)

The offeror certifies, for orders in excess of \$100,000, that:

1. No federal appropriated funds have been paid or will be paid to influence government officials to award a federal contract or to modify a federal contract as further stated in FAR 52.203 -11(b)(1).

2. If any other funds have been paid as stated in FAR 52.203-11(b)(2) the offeror shall complete and submit OMB standard form III, disclosure of lobbying activities, to GE; and

3. The offeror will include the requirements of FAR 52.203-11 in all subcontract awards at any tier and require that all recipients of subcontracts in excess of \$100,000 certify and disclose accordingly.

G. Certification regarding Contractor Code of Business Ethics and Conduct (FAR 52.203-13)

The offeror certifies, for orders in excess of \$5,000,000, that it has a written code of business ethics and conduct as stated in FAR 52.203-13.

The Contractor shall also include the substance of this clause, including this paragraph (d), in subcontracts that have a value in excess of \$5,000,000 and a performance period of more than 120 days, except when the subcontract—

(1) Is for the acquisition of a commercial item; or

(2) Is performed entirely outside the United States.

Name of Supplier: \_\_\_\_\_

Signature: \_\_\_\_\_ Title: \_\_\_\_\_

Date: \_\_\_\_\_

**FOR GE USE ONLY**

PO Number: \_\_\_\_\_ GE Supplier Code \_\_\_\_\_